

Docket No. 283242US0PCT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Jean-Christophe GIRON, et al.

SERIAL NO: 10/563,322

GAU:

FILED: January 4, 2006

EXAMINER:

FOR: ELECTRICALLY-CONTROLLABLE DEVICE WITH VARIABLE OPTICAL AND/OR ENERGY PROPERTIES

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☐ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.
Norman F. Oblon
Daniel R. Evans, Ph.D.

Registration No. 55,868

Customer Number

22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 05/03)



LIST OF RELATED CASES CITED BY
APPLICANT UNDER 37 CFR 1.56

Docket No.: 283242US0PCT

Serial No.: 10/563,322

Inventor: Jean-Christophe GIRON, et al.

Filing Date: January 4, 2006

Group:

LIST OF RELATED CASES

<u>Examiner Initial</u>	<u>Docket No.</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent App. Publication No.</u>	<u>Inventor or Applicant</u>
	283242US0PCT*	10/563,322	01/04/06		GIRON, et al.
	283486US0PCT	10/564,501	01/13/06		GIRON, et al.
	283429US0PCT	10/562,451	12/27/05		BAUBET, et al.
	283425US0PCT	10/562,121	12/23/05		BAUBET, et al.
	278758US3CONT	11/250,424	10/17/05	US2006/0033978 A1	MORIN, et al.
	266115US0CONT	11/058,161	02/16/05	US2005/0147825 A1	ARNAUD, et al.
	253209US2PCT	10/495,758	09/28/04	US2005/0041276 A1	BETEILLE, et al.
	251350US3CONT	7,012,728	03/14/06		MORIN, et al.
	250668US0CONT	10/804,208	03/19/04	US2004/0229049 A1	BOIRE, et al.
	248544US0CONT	6,940,628	09/06/05		GIRON
	247506US55PCT	10/399,484	10/15/03	US2004/0067343 A1	BETEILLE, et al.
	246196US0PCT	7,002,720	02/21/03		BETEILLE, et al.
	246077US6PCT	10/482,404	01/09/04	US2004/0169789 A1	MATHEY, et al.
	233007US0CONT	6,872,453	03/29/05		ARNAUD, et al.
	232276US2PCT	10/312,556	09/15/03	US2004/0053125 A1	GIRON, et al.
	205008US0CONT	6,791,737	09/14/04		GIRON

*Present Application; listed for information
NFO/kch

217813US0CONT	6,529,308	03/04/03		BETEILLE, et al.
208464US0	09/862,714	05/23/01	US2002/0037421 A1	ARNAUD, et al.
198928US3PCT	6,747,779	06/08/04		MORIN, et al.
01247-0858-0V PCT	6,337,758	01/08/02		BETEILLE, et al.
01247-0855-0V PCT	6,746,775	06/08/04		BOIRE, et al.
01247-0711-2V	5,985,486	11/16/99		GIRON
01247-0682-0V	6,277,523	08/21/01		GIRON

Examiner /Jessica Stultz/

Date Considered 02/05/2009

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /J.S./

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 283242US0PCT		SERIAL NO. 10/563,322	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Jean-Christophe GIRON, et al.		FILING DATE January 4, 2006	
				GROUP			
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
AA	2006/0033978	02/16/06	MORIN ET AL				
AB	2005/0147825	07/07/05	ARNAUD ET AL				
AC	2005/0041276	02/24/05	BETTELLE ET AL				
AD	7,012,728	04/14/06	MORIN ET AL				
AF	2004/0229049	11/18/04	BOIRE ET AL				
AF	6,940,628	09/06/05	GIRON				
AC	2004/0067343	04/08/04	BETTELLE ET AL				
AH	7,002,720	02/21/06	BETTELLE ET AL				
AI	2004/0169789	09/02/04	MATHEY ET AL				
AJ	6,872,453	03/29/05	ARNAUD ET AL				
AK	2004/0053125	03/18/04	GIRON ET AL				
AL	6,791,737	03/18/04	GIRON				
AM	6,529,308	03/04/03	BETTELLE ET AL				
AN	2002/0037421	03/28/02	ARNAUD ET AL				
FOREIGN PATENT DOCUMENTS							
	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO			
	AO						
	AP						
	AD						
	AR						
	AS						
	AT						
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW						
	AX						
	AY						
	AZ				<input type="checkbox"/> Additional References sheet(s) attached		
Examiner /Jessica Stultz/				Date Considered 02/05/2009			

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 283242US0PCT		SERIAL NO. 10/563,322	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Jean-Christophe GIRON, et al.			
				FILING DATE January 4, 2006		GROUP	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	6,747,779	06/08/04	MORIN ET AL			
	AB	6,337,758	01/08/02	BETTELLE ET AL			
	AC	6,746,775	06/08/04	BOIRE ET AL			
	AD	5,985,486	11/16/99	GIRON			
	AE	6,277,523	08/21/01	GIRON			
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AQ						
	AR						
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW						
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner /Jessica Stultz/					Date Considered 02/05/2009		

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /J.S./